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(54) Title: METAL CLUSTER CONTAINING NUCLEOTIDES AND NUCLEIC ACIDS, AND INTERMEDIATES THEREFOR

(57) Abstract: Nucleotides including a sugar moiety, a pyrimidine or purine base and a terminal thiol group at a side chain covalently linked to the pyrimidine or purine base of the nucleotide, and optionally further including a metal cluster covalently linked through the terminal thiol group at said side chain to the pyrimidine or purine base of the nucleotide, and nucleic acids incorporating same.

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,521,289 A (HAINFELD et al.) 28 May 1996, col. col. 2, lines 49-60, especially col. 7, line 3 through col. 8, line 43.	1-23
Y	US 5,728,590 A (POWELL) 17 March 1998, col. 2, lines 29-44.	1-23

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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## METAL CLUSTER CONTAINING NUCLEOTIDES AND NUCLEIC ACIDS, AND INTERMEDIATES THEREFOR

5

### FIELD OF THE INVENTION

The present invention relates to nucleotides comprising a terminal thiol group, to such nucleotides further comprising a metal cluster, preferably a gold cluster, covalently attached through said terminal thiol group, and to nucleic acids comprising  
10 at least one of the described nucleotides.

### BACKGROUND OF THE INVENTION

Protein-ribonucleic acid (RNA) interactions play a key role in many fundamental life processes. In living organisms, these polymers are often found  
15 complexed together in extremely large assemblies whose molecular mass may reach several millions of daltons. In the pathway of gene expression one finds transcribing complexes, containing RNA polymerase in action on a DNA template, with associated nascent RNA. Concurrently, the resulting precursor messenger RNA (pre-mRNA) becomes associated with a multitude of proteins and additional small RNA molecules  
20 into a large ribonucleoprotein (RNP) complex, the spliceosome, where it is processed to mature mRNA. Protein synthesis then takes place in the cytoplasm on a third class of particles - the ribosomes. In addition, a number of large protein complexes require mononucleotides (e.g., ATP, GTP) for their assembly and/or catalytic activity.

For such inherently polymorphic assemblies, visualization by transmission  
25 electron microscopy (TEM) provides structural information at a resolution that is difficult to obtain in any other way (Chiu and Schmid, 1997; Griffith *et al.*, 1997). Yet, localization and tracing by electron microscopy of RNA or ribonucleotides within such large biological assemblies, are not yet a straightforward undertaking. Even when crystals amenable to X-ray crystallography analysis can be obtained, as is the  
30 case for ribosomes, there is still a demand for heavy atom derivatives to phase the diffraction data (Weinstein *et al.*, 1992). Covalent derivatization of RNA with heavy atoms should enable visualization of RNA within RNP complexes by EM and ensure

the introduction of electron-dense centers at distinct locations within crystallized RNA molecules and RNP complexes.

Visualization of nucleic acid molecules by TEM cannot be directly achieved because of the low-density weakly scattering atoms they contain. Nevertheless, methods such as electron spectroscopic imaging (e.g., Bazett-Jones, 1992), tungsten shadow casting (e.g., Wang *et al.*, 1994), atomic force microscopy (AFM; e.g. Hansma *et al.*, 1996; Smith *et al.*, 1997), and scanning tunneling microscopy (e.g., Guckenberger *et al.*, 1994) have been used to visualize naked RNA and DNA molecules. More recently, a positive staining protocol that allows visualization of nucleic acids (Dubochet *et al.*, 1971) was used to visualize RNA strands emanating from supraspliceosome particles (Muller *et al.*, 1998), yet RNA located within the particles was not visible. Tagging such macromolecules with clusters of heavy atoms should facilitate their visualization by conventional TEM. The present most popular method employs colloidal gold noncovalently attached to specific antibodies, protein A or other macromolecular probes. For example, attempts were made to visualize spliceosomes by dark-field scanning transmission electron microscopy (STEM) after tagging with biotinylated oligonucleotides complementary to the pre-mRNA that had been conjugated to a streptavidin-colloidal gold complex (Sibbald *et al.*, 1993).

The use of probes with covalently conjugated gold compounds provides a number of advantages over colloidal gold. These include better stability, size uniformity, and complete absence of aggregation, all of which result in better sensitivity and resolution. A number of gold clusters containing a core of 11 gold atoms surrounded by a hydrophilic organic shell of aryl-phosphines have been described (Safer *et al.*, 1986). These undecagold compounds have the general formula  $Au_{11}L_6L'X_3$ , where L is tris(4-N-methylcarboxamidophenyl)phosphine, and L' is a similar ligand in which the methylcarboxamido group on one of the benzene rings is replaced by an activatable side chain such as an  $\omega$ -amino alkyl group. Activation of this compound with a maleimido group yields a gold cluster that can be conveniently coupled to free thiol groups of proteins (Safer *et al.*, 1986; Wenzel and Baumeister, 1995). An interesting example is the specific labeling with undecagold of the ribosomal protein BL11 within the 50S ribosomal subunit of *Bacillus*

*stearothermophilus* for its subsequent use as a heavy atom derivative for crystallographic studies (Weinstein *et al.*, 1989, 1992). The same authors also labeled tRNA<sup>Phe</sup> of the same organism by taking advantage of the modified nucleoside 3-(3-amino-3-carboxypropyl) uridine at position 47. The exposed primary amine of this  
5 base was reacted with 2-iminothiolane to extend the aliphatic chain and introduce a primary thiol group, which was then coupled to maleimido undecagold (Weinstein *et al.*, 1992).

The diameter of the undecagold cluster is 0.82 nm. It can thus be visualized by high-resolution STEM, but not readily by conventional TEM unless the signal is  
10 enhanced by silver enhancement (Burry *et al.*, 1992). Visualization by conventional TEM can be improved by using a larger, 1.4 nm, gold cluster (Hainfeld and Furuya, 1992). The structure of this reagent, now commercially available from Nanoprobe (Stony Brook, NY) under the trademark "NANOGOLD", has not yet been reported. It has nevertheless been used successfully to label proteins (Boisset *et al.*, 1992;  
15 Hainfeld and Furuya, 1992) as well as the 5' or 3' ends of DNA oligonucleotides (Alivisatos *et al.*, 1996).

The present invention teaches a general systematic strategy for incorporating gold clusters into nucleotides and nucleic acid molecules.

## 20 SUMMARY OF THE INVENTION

The present invention provides, in one aspect, a nucleotide comprising a terminal thiol group at a side chain covalently linked to the pyrimidine or purine base of the nucleotide.

In one embodiment, the sugar moiety of the nucleotide of the invention is  
25 ribose; in another embodiment, the sugar moiety is deoxyribose, dideoxyribose or any other ribose analog. The nucleotide of the invention may be a monophosphate, a diphosphate, a 3',5'-bisphosphate or a 5'-triphosphate.

The side chain of the nucleotide of the invention carrying the terminal thiol group may be saturated or unsaturated and has 2-20, preferably 2-15, most preferably  
30 2-10, carbon atoms, optionally interrupted by heteroatoms selected from O, S or N and/or substituted by groups such as =O, =NH and/or 1-3 alkyl groups.

In another aspect, the invention relates to a nucleotide having a metal cluster covalently linked through a terminal thiol group of a side chain covalently linked to the pyrimidine or purine base of the nucleotide. The metal may be Ag, Au, Hg, Pt, Mo or W, but is preferably a gold cluster such as colloidal gold.

5 In a further aspect, the invention relates to a nucleic acid comprising at least one nucleotide of the invention comprising a free terminal thiol group or a metal cluster covalently linked through a terminal thiol group. The nucleic acid may be a RNA or a DNA molecule.

10 In one embodiment, the nucleic acid molecule is covalently tagged with a metal cluster. The metal may be Ag, Au, Hg, Pt, Mo or W, but is preferably a gold cluster such as colloidal gold.

The metal-tagged nucleic acids of the invention are useful as probes for macromolecular assemblies such as protein-RNA complexes.

15 The invention provides general methodologies for the covalent attachment of gold-clusters to DNA and RNA (nucleic acids) at random locations as well as at specific locations.

The general strategy for the attachment of gold-clusters at random locations in the nucleic acid molecule is depicted in Scheme 1 and involves the following steps:

20 (i) preparation of precursor deoxyribonucleoside triphosphates (NTPs) and ribonucleoside triphosphates (rNTPs) whose heterocyclic ring contains substituents with a terminal thiol group (NTP-SH and rNTP-SH, respectively);

(ii) incorporation of these precursor molecules in DNA or RNA in reactions catalyzed by DNA polymerase or RNA polymerase, respectively; and

25 (iii) attachment of gold-clusters to the free thiol groups, either by reacting with a commercially available maleimido derivative of the cluster, or by reacting with colloidal gold of pre-determined size.

The strategy for the attachment of a gold cluster to a specific location in the nucleic acid molecule is depicted in Scheme 2 and involves the following steps:

30 (i) preparation of 3',5' deoxyribonucleoside diphosphates (p[dN]p) and 3',5' ribonucleoside diphosphates (pNp) whose heterocyclic ring contains substituents with a terminal thiol group (p[dN]p-SH and pNp-SH, respectively);

(ii) synthesis of the 5' half of the nucleic acid whose 3' end nucleotide is the one that precedes the nucleotide to which a gold cluster should be attached;

(iii) addition of p[dN]p-SH or pNp-SH to the nucleic acid made in (ii) by using the DNA ligase or RNA ligase, respectively; and

5 (iv) dephosphorylation of the 3' end of the nucleic acid made in (iii), and ligating it to the 3' half of the nucleic acid.

Ribonucleic acids (RNAs) play a key role in many fundamental life processes. These polymers are often found complexed with proteins in extremely large particles whose molecular mass may reach several millions of daltons (e.g., ribosomes, spliceosomes, and viruses). Structural studies of such RNA-protein complexes should help elucidate their mode of action. For the structural analyses of many macromolecular assemblies, electron microscopy (EM) has served an instrumental role. However, localization by EM of RNA within biological complexes is not yet a straightforward undertaking. Here we describe a methodology for the covalent  
10 tagging of RNA molecules with gold clusters, thereby enabling their direct visualization by microscopical methods. The strategy involves transcription *in vitro* of RNAs that carry free thiol groups, using ribonucleoside triphosphate analogs containing a substituent with a terminal thiol group on their heterocyclic ring. This synthesis is followed by coupling of gold clusters to the thiolated transcript through a  
15 maleimido group. Visualization of such gold-tagged RNAs by transmission electron microscopy showed spots of gold clusters, with a diameter of 1-2 nm, arranged at nearly regular distances on an imaginary curve that corresponds to the RNA chain. This assignment was corroborated by atomic force microscopy that exhibited images of RNA chains in which knob-like structures, whose height corresponds to the  
20 diameter of the gold clusters, were clearly seen. This invention demonstrates the potential use of nucleic acids that are covalently labeled with gold clusters for the structural characterization of protein-RNA complexes and in microelectronic devices.

#### BRIEF DESCRIPTION OF THE DRAWINGS

30 FIG. 1. A synthetic route for the preparation of gold-tagged RNAs. A nucleoside triphosphate (NTP) analog containing a substituent with a terminal thiol



group on its heterocyclic ring (NTP-SH) is incorporated into RNA in a standard run-off transcription reaction, driven by RNA polymerase and using an appropriately cut plasmid as a template for transcription. The thiolated RNA molecules thus obtained are subsequently coupled through their thiol groups to a gold cluster containing a maleimido functional group to yield the gold-tagged RNA.

FIG. 2. Gel electrophoresis of gold-tagged RNA.  $\beta$ -globin pre-mRNA was transcribed in the presence of UTP-SH (10% of total input UTP). Increasing amounts (5-15 ng) of the gold-tagged  $\beta$ -globin pre-mRNA (lanes 1-3) along with unmodified  $\beta$ -globin pre-mRNA (lane 4) were subjected to electrophoresis on a 2%-agarose gel and transferred to a nitrocellulose membrane, and gold-containing bands were revealed by silver enhancement. Response to this treatment, in a quantity dependent manner, is seen only in lanes where gold-RNA is present. The unmodified  $\beta$ -globin pre-mRNA of 497 bases (lane 4) is not seen. The origin and the migration of size markers (in number of bases) are indicated on the left.

FIG. 3. Transmission electron microscopy (TEM) images of unstained  $\beta$ -globin pre-mRNA transcripts. RNA was transcribed *in vitro*, treated with monomaleimido NANOGOLD, and visualized by bright-field TEM. (a) Unmodified RNA; (b) RNA transcribed in the presence of ATP-SH (10% of total input ATP); (c) RNA transcribed in the presence of UTP-SH (50% of total input UTP). Arrows indicate the RNA termini. Scale bar, 15 nm.

FIG. 4. Atomic force microscopy (AFM) images of  $\beta$ -globin pre-mRNA. (a) Unmodified single-stranded RNA; (b) Gold-labeled single-stranded RNA. Black to white spans 6 nm. Scale bar, 100 nm.

FIG. 5. Surface plot of AFM images. The surface plots were drawn from the respective AFM images shown in Fig. 4 using the "surface plot" function of the NIH Image package. (Left) Unmodified single-stranded RNA (corresponding to Fig. 4a, left). (Right) Gold-labeled single-stranded RNA (corresponding to Fig. 4b, right).

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

The present invention is of nucleotides comprising a terminal thiol group and of nucleotides further comprising a metal cluster, preferably a gold cluster, covalently

attached through the terminal thiol group and of nucleic acids comprising at least one, preferably a plurality of the described nucleotides. The present invention can be used in applications in which a metal atom is more readily detectable, such as TEM and X-ray crystallography, so as to allow for improved structural analysis of nucleic acids when complexed with other macromolecules such as proteins.

Methods of labeling oligonucleotides or tRNA with metal atoms are known in the art, yet these methods are limited to either, introduction of such atoms onto an existing nucleic acid molecule or introduction of such atoms to a terminal end of an existing nucleic acid molecule.

While conceiving the present invention, it was realized that if nucleotides to which a metal cluster is covalently linked were available, one could, using conventional template dependent (e.g., DNA and RNA polymerases, reverse transcriptase, etc.) or independent polymerase (e.g., terminal transferase) based techniques and/or synthetic solid phase based techniques, to synthesize nucleic acids in which the positions of the metal clusters are selected either at random, specific to certain preselected purine or pyrimidine nucleotides, or at known positions along a nucleic acid molecule.

While reducing the present invention to practice, a model approach of ribonucleotides and RNA labeled with gold clusters was chosen, yet, as will be appreciated by one of ordinary skills in the art, deoxynucleotides, as well as nucleotide analogs, such as, but not limited to, dideoxy nucleotides and nucleic acid polymers containing same can also be prepared and employed as described herein.

As used herein in the specification and in the claims section that follows, the terms "nucleotide" or in plural "nucleotides" include native (naturally occurring) nucleotides, which include a nitrogenous base selected from the group consisting of adenine, thymidine, cytosine, guanine and uracil, a sugar selected from the group of ribose and deoxyribose (the combination of the base and the sugar is known as nucleoside), and one to three phosphate groups, and which can form phosphodiester internucleosidyl linkages. However, these terms, as used herein, further include nucleotide analogs. Such analogs can have a sugar analog, a base analog and/or an internucleosidyl linkage analog. In addition, analogs exhibiting non-standard base

pairing, such as described in, for example, U.S. Pat. No. 5,432,272, which is incorporated herein by reference, are also included under these terms. Thus, as used herein these terms read on molecules capable of, while incorporated in a polymer, conventional or unconventional pairing via hydrogen bonding with naturally occurring  
5 nucleotides or with nucleotide analogs exhibiting non standard base pairing and which are present in a complementary polymer.

As used herein, the term "nucleotide analog" includes nucleotides that arechemically modified in the natural base (hereinafter "base analogs"), in the natural sugar (hereinafter "sugar analogs"), and/or in the natural phosphodiester or any other  
10 internucleosidyl linkage (hereinafter "internucleosidyl linkage analogs").

Examples of base analogs that can be used according to the invention include, but are not limited to, modified purine and pyrimidine bases such as, for example, O-methyl, C-methyl, N-methyl, deaza, aza, halo (F, Br, I), thio, oxo, aminopropenyl, amino, acyl, propynyl, pentynyl, and etheno base derivatives, as well as more drastic  
15 modifications such as replacement of the base by phenyl, and additional analogs as described in Eaton, (1997); Benner *et al.*, (1998); Earnshaw & Gait, (1998) and Sakthivel & Barbas (1998).

Examples of sugar analogs that can be used according to the invention include, but are not limited to, modifications of the  $\beta$ -ribofuranosyl and  $\beta$ -2'-deoxyribofuranosyl sugar residues such as, for example, 2'-O-methyl, 2'-O-allyl, 2'-O-amino, 2'-deoxy-2'-halo (F, Cl, Br, I), 2'-deoxy-2'-thio, 2'-deoxy-2'-amino and dideoxy derivatives, as well as corresponding  $\alpha$ -anomers and hexose analogs, and additional analogs as described in Eaton, (1997); Benner *et al.*, (1998); Earnshaw & Gait, (1998); Groebke *et al.*, (19) and Sakthivel & Barbas (1998).

Examples of internucleosidyl analogs that can be used according to the invention include, but are not limited to, those in which the natural phosphodiester linkage is replaced by a linkage such as phosphorothioate, phosphorodithioate, phosphoroamidate, methylphosphonate, and additional analogs as described in Eaton, (1997); Benner *et al.*, (1998); Earnshaw & Gait, (1998) and Sakthivel & Barbas  
25 (1998).  
30

Also can be used peptide nucleic acids (PNA), in which the entire phosphate-sugar backbone is replaced with a backbone consisting of (2-aminoethyl) glycine units to which bases are attached through methylenecarbonyl bridges and nucleotide analogs which are designed for solid phase synthesis of oligonucleotides, including  
5 oligodeoxynucleotides and oligodeoxyribonucleotides.

Thus, according to one aspect of the present invention there is provided a nucleotide, as this term is defined above, comprising a terminal thiol group at a side chain, which side chain is covalently linked to the pyrimidine or purine base (including analog) of the nucleotide. According to a preferred embodiment of the  
10 present invention, the sugar moiety of the nucleotide is ribose, deoxyribose, dideoxyribose or any other ribose analog. The nucleotide of the invention may be a monophosphate, a diphosphate, a 3',5'-bisphosphate, or a 5'-triphosphate. Analogs, as is further detailed above may also be used.

The side chain of the nucleotide of the invention carrying the terminal thiol  
15 group may be saturated or unsaturated and has 2-20, preferably 2-15, most preferably 2-10, carbon atoms, optionally interrupted by heteroatoms such as O, S or N and/or substituted by groups such as =O, =NH and/or 1-3 alkyl groups. Examples of the nucleotides of the invention are shown in Schemes 3-5 hereinbelow.

In another aspect, the invention relates to a nucleotide having a metal cluster  
20 covalently linked through a terminal thiol group of a side chain covalently linked to the pyrimidine or purine base of the nucleotide. The metal may be Ag, Au, Hg, Pt, Mo or W, but is preferably a gold cluster such as colloidal gold.

In a further aspect, the invention relates to a nucleic acid comprising at least one nucleotide of the invention comprising a free terminal thiol group or a metal  
25 cluster covalently linked through a terminal thiol group. The nucleic acid may be a RNA or a DNA molecule.

In one embodiment, the nucleic acid molecule is covalently tagged with a metal cluster. The metal may be Ag, Au, Hg, Pt, Mo or W, but is preferably a gold cluster such as colloidal gold.

30 The metal-tagged nucleic acids of the invention are useful as probes for macromolecular assemblies such as protein-RNA complexes.

The invention provides general methodologies for the covalent attachment of gold-clusters to DNA and RNA (nucleic acids) at random locations as well as at specific locations.

5 The general strategy for the attachment of gold-clusters at random locations in the nucleic acid molecule is depicted in Scheme 1 and involves the following steps:

(i) preparation of precursor deoxyribonucleoside triphosphates (NTPs) and ribonucleoside triphosphates (rNTPs) whose heterocyclic ring contains substituents with a terminal thiol group (NTP-SH and rNTP-SH, respectively);

10 (ii) incorporation of these precursor molecules into DNA or RNA in reactions catalyzed by DNA polymerase or RNA polymerase, respectively; and

(iii) attachment of gold-clusters to the free thiol groups, either by reacting with a commercially available maleimido derivative of the cluster, or by reacting with colloidal gold of pre-determined size.

15 The strategy for the attachment of a gold cluster to a specific location in the nucleic acid molecule is depicted in Scheme 2 and involves the following steps:

(I) preparation of 3',5' deoxyribonucleoside diphosphates (p[dN]p) and 3',5' ribonucleoside diphosphates (pNp) whose heterocyclic ring contains substituents with a terminal thiol group (p[dN]p-SH and pNp-SH, respectively);

20 (ii) synthesis of the 5' half of the nucleic acid whose 3' end nucleotide is the one that precedes the nucleotide to which a gold cluster should be attached;

(iii) addition of p[dN]p-SH or pNp-SH to the nucleic acid made in (ii) by using the DNA ligase or RNA ligase, respectively; and

(iv) dephosphorylation of the 3' end of the nucleic acid made in (iii), and ligating it to the 3' half of the nucleic acid.

25 The present invention provides the first description of a general methodology to covalently label synthetic nucleic acids with metal clusters. The invention is exemplified with respect to RNA labeled with gold clusters. However, in an analogous manner, it may be employed to label DNA molecules; namely, by using thiolated dNTP precursors in DNA polymerase driven reactions. The generality of the method is also manifested by the possibility it offers to label nucleic acids with  
30 clusters of heavy atoms others than gold.

Though colloidal gold was previously used to noncovalently label RNA and protein RNA complexes, it seems likely that the covalent binding of gold or other heavy metal clusters to biological assemblies of nucleic acids and proteins is advantageous. First, the binding is stable and direct in the sense that it does not  
5 require secondary molecules such as antibodies or biotin-avidin complexes. Second, the metal clusters are relatively small and uniform in size and do not tend to aggregate. These features should provide better sensitivity and resolution to the method. Further, the methodology enables the labeling of specific residues along the nucleic acid chain (e.g., uridines or adenosines) and also to vary the density of the  
10 label by varying the concentration of the thiolated nucleotide during the enzymatically driven polymerization.

The number of studies of biologically important protein-RNA complexes is increasing rapidly. The extremely large size of many of these complexes makes the use of microscopic methods essential. The precise location and defined size of the  
15 gold label make this modification suitable for following the RNA path not only within naked RNA, as demonstrated here, but should also allow microscopic visualization of RNA within protein RNA complexes. For example, visualization of gold-tagged RNA within unstained frozen hydrated RNP complexes. This methodology is also applicable to help with phase determination in crystals of RNA-protein complexes and  
20 also in crystals of RNA molecules such as ribozymes.

The general methodology described here is designed to prepare gold-tagged RNAs in which gold clusters are randomly distributed along the RNA chain. Further developments include the preparation of RNA or DNA molecules tagged with gold clusters at specific locations. This allows the localization of specific nucleotides, or  
25 sequences of physiological importance, within a large variety of prot nucleic acid complexes, which is a key step toward understanding the mechanism of action of such macromolecular assemblies.

The methodology of the present invention enables visualization of nucleic acids complexed with proteins by electron microscopy as well as use in  
30 microelectronic devices.

Progress in silicon-based microelectronics has led to the shrinkage of the characteristic size of a transistor to 0.25 microns in present days technology. It is projected that in the course of the next decade this size will be reduced to  $\sim 0.1$  microns. However, major fundamental physical considerations make further size reduction very unlikely. It is therefore anticipated that a new and different technology will be needed to reduce the transistor size beyond the 0.1 microns limit. Biological-molecules based technology is a promising candidate for this length scale.

A possible approach to realize a sub-0.1 microns transistor is the single electron transistor (SET). This transistor consists of a conducting small island weakly coupled (by tunneling) to two metal contacts. The current through the island is of single electrons, which tunnel in and out of the island. This current is controlled by a metal gate, which can switch in on or off. The required size of the island for a room temperature operation of the SET is  $\sim 10$  nm.

The present invention enables forming a structure of a gold cluster at a chosen location on a nucleic acid molecule (DNA or RNA). This can be the critical building block for a SET, with the cluster being the metallic island. To realize the transistor one has to construct a structure of two contacts with a very small spacing between them, of the order of  $\sim 10$  nm, and bind the two ends of the nucleic acid molecule to these contacts. The length of the molecule (and correspondingly the distance between the contacts) defines the tunneling of electrons from the gold cluster to the nearby contacts.

The important properties of the present invention for realizing such a device are the ability to determine the island size in the nm range, the ability to fix its location on the molecule with a very high accuracy, the ability to determine the molecule length, and the ability to control the specific location on the molecule, which will bind to the metallic contacts.

Additional objects, advantages, and novel features of the present invention will become apparent to one ordinarily skilled in the art upon examination of the following examples, which are not intended to be limiting. Additionally, each of the various embodiments and aspects of the present invention as delineated

hereinabove and as claimed in the claims section below finds experimental support in the following examples.

## EXAMPLES

- 5           Reference is now made to the following examples, which together with the above descriptions, illustrate the invention in a non limiting fashion.

### Example 1

#### *Synthesis of thiolated UTP (Scheme 3)*

10   **1a.   *Synthesis of 5-Aminoallyl-UTP***

5-Aminoallyl-UTP was synthesized as described by Langer et al. (Langer et al., 1981). In brief, a mixture of 275 mg of UTP (0.5 mmole, Sigma) and 0.8 gr of mercuric acetate in 50 ml of 0.1 M sodium acetate, pH 6, was stirred at 50°C for 4 h and cooled on ice. Quantitative formation of the 5-mercured UTP intermediate was  
15 confirmed by TLC analysis on a PEI cellulose plate in 0.75 M  $\text{KH}_2\text{PO}_4$ , pH 3.4 ( $R_f$  0.1) where UTP migrates with an  $R_f$  value of 0.72. Lithium chloride (196 mg) was added to the chilled reaction mixture followed by 6 extractions with 50 ml ethyl acetate. The aqueous layer was added to 150 ml of ice cold ethanol, the precipitate was collected, washed with ether and dried under vacuum. The product was  
20 resuspended in 25 ml of 0.1 M sodium acetate, pH 5, and nine-fold molar excess of allyl amine (Merck, neutralized with acetic acid) was added followed by one equivalent of  $\text{K}_2\text{PdCl}_4$  (163 mg, Aldrich). The reaction mixture was stirred for 24 h at room temperature and was then loaded on a column (50 ml bed volume) of Sephadex A-25 equilibrated with 20 mM triethylammoniumbicarbonate, pH 7.8  
25 (TEAB). The column was washed with the same buffer and then developed with a gradient of 0.15-0.8 M TEAB. 5-Aminoallyl UTP was eluted at 0.3-0.37 M salt. The peak fractions were combined and lyophilized, and the resulting powder was resuspended in water and re-lyophilized. This procedure was repeated 4-5 times until most of the salt evaporated. The structure of the product was confirmed by UV and  
30 2D PMR spectroscopy.



In a similar way, other compounds carrying a radical  $\text{CH}_2=\text{CH}-(\text{CH}_2)_n-\text{NH}_2$ , wherein  $n>1$ , can replace allylamine ( $n=1$ ).

**1b. *Synthesis of 5-Thiol-UTP***

5-Aminoallyl UTP (0.1 mmole) was dissolved in 8 mL  $\text{H}_2\text{O}$  and transferred into a glass tube containing 2 ml of 1 M triethanol amine, pH 8.4, 0.25 M KCl and 25 mM  $\text{Mg}(\text{OAc})_2$ . A solution of 2-iminothiolane-HCl (274 mg, Sigma) in 0.5 ml of the same buffer was added, the reaction mixture was left at  $0^\circ\text{C}$  for 5-15 h and was then loaded on a column (50 ml bed volume) of Sephadex A-25 equilibrated with 20 mM TEAB. The column was washed with the same buffer and then developed with a gradient of 0.15-0.8 M TEAB. 5-Thiol UTP was eluted at 0.46-0.52 M salt. The peak fractions were combined and lyophilized, and the resulting powder was resuspended in water and re-lyophilized. This procedure was repeated 4-5 times until most of the salt evaporated. The structure of the product was confirmed by 2D PMR spectroscopy.

15

**Example 2**

***Synthesis of thiolated ATP (Scheme 4)***

**2a. *Synthesis of  $\text{N}^6$ -(carboxymethyl)ATP***

The synthesis was adopted from Gebeyehu et al. (Gebeyehu et al., 1987). A mixture of ATP (98.1 mg) and sodium iodoacetate (333 mg) in 3.37 ml  $\text{H}_2\text{O}$  at pH 6.5 was stirred at for 4 days at  $30^\circ\text{C}$ . The reaction mixture was cooled and poured onto 100 ml of chilled ethanol. The precipitate was collected and dissolved in 12 ml of  $\text{H}_2\text{O}$ . The pH was adjusted to 8.5 with 0.1 M NaOH, the solution was heated to  $90^\circ\text{C}$  for 1 h, cooled to room temperature and loaded on a column of Sephadex A-25 (20 ml bed volume) which had been equilibrated with 0.1 M TEAB. After washing with 0.1 M TEAB, a step gradient from 0.1 to 0.5 M TEAB in 100 ml increments was applied. The starting material eluted at 0.4-0.5 M salt.  $\text{N}^6$ -(carboxymethyl)ATP was then eluted with 1 M TEAB. The material was lyophilized and analyzed by NMR and TLC.

**2b. Synthesis of N<sup>6</sup>-[(6-aminohexyl)carbamoylmethyl]-ATP**

N<sup>6</sup>-(carboxymethyl)ATP (15 mg) was dissolved in 0.48 ml of a 1 M aqueous solution of 1,6 diaminohehexane adjusted to pH 4.7 with 5 M HCl. Ethyldimethylaminopropyl carbodiimide (EDC) (5 mg) was added and the mixture was stirred for 2 h. Two additional portions of EDC (5 mg each) were added at 30 min intervals. The reaction mixture was cooled on ice and added to 20 ml of a chilled 1:1 mixture of acetone and ethanol. The precipitate was collected, dissolved in water and loaded on a column of Sephadex A-25 (20 ml bed volume) which had been equilibrated with 20 mM TEAB. After washing with 20 mM TEAB, a step gradient from 0.1 to 0.5 M TEAB in 100 ml increments was applied. The N<sup>6</sup>-[(6-aminohexyl)carbamoylmethyl]-ATP was eluted at 0.5 M salt. The product was analyzed by TLC and NMR.

**2c. Synthesis of Thiol-ATP**

Thiolation of N<sup>6</sup>-[(6-aminohexyl)carbamoylmethyl]-ATP with 2-iminothiolane was carried out as described above for 5-thiol-UTP. Thiol-ATP was purified by anion exchange chromatography on Sephadex A-25 using a linear gradient of 0.15-0.7 M TEAB. Thio-ATP eluted at 0.5-0.55 M salt and recovered by lyophilization.

20

**Example 3*****Synthesis of thiol-AMP-PCP***

The synthesis of thiol-AMP-PCP (the unhydrolyzable analog of thiol-ATP) was carried out as described above for thiol-ATP starting from AMP-PCP.

25

**Example 4*****Synthesis of thiolated 2'(3'),5'-bisphosphocytidine (thiol-pCp) (Scheme 5)*****4a. Synthesis of pCp**

The synthesis of pCp was as described by Hall and Khorana 1955. In brief, cytidine (1 gr) was mixed with 5 ml of phosphorylation reagent and incubated at 60°C in a sealed glass tube for 20 h. Phosphorylation reagent was prepared by dissolving 5

30

gr of  $P_2O_5$  in 3.75 ml of 85%  $H_3PO_4$ . The reaction mixture was diluted with 60 ml of water, the pH was adjusted to 2.1 with 5 M HCl, and boiled for 15 min. The chilled solution was neutralized (pH 9) with 4.5 M LiOH and loaded onto a column of Dowex 2X8 (50 ml bed volume) that had been equilibrated with 20 mM ammonium bicarbonate (AMBIC). The column was washed with 20 mM AMBIC until all remaining starting material was eluted, and then developed with a linear gradient of 0.1-0.8 M AMBIC. The product pCp eluted at 0.6-0.7 M AMBIC and was recovered by lyophilization.

#### 4b. *Synthesis of $N^4$ -(6-aminohexyl)-pCp*

- 10 pCp (0.4 gr) was dissolved in 2 ml of  $H_2O$  and mixed with 8 ml of a 3.7 M solution of 1,6 bisaminohexane (pH 7.2). An aqueous solution of  $Na_2S_2O_5$  (2.3 gr in 3 ml  $H_2O$ ) was added to the reaction mixture simultaneously with 14 mg of hydroquinone dissolved in a minimum volume of ethanol. The reaction mixture was stirred at 42°C for 15 h, cooled on ice, and mixed with 40 ml of ice cold ethanol. The precipitate was collected, dissolved in  $H_2O$  and loaded onto a column of Sephadex A-25 (50 ml bed volume) that had been equilibrated with 20 mM TEAB. The column was washed with 20 mM TEAB and then developed with a linear gradient of 0.15-0.6 M TEAB.  $N^4$ -(6-aminohexyl)-pCp eluted at 0.3-0.35 M salt. After lyophilization the product was characterized by PMR and  $^{31}P$ -NMR.
- 15
- 20 The example given is with 1,6-diaminohexane ( $n=6$ ) but transamination can be done with any diamine  $H_2N-(CH_2)_n-NH_2$ .

#### 4c. *Synthesis of Thiolated pCp*

- Thiolation of  $N^4$ -(6-aminohexyl)-pCp with 2-iminothiolane was carried out as described above for 5-thiol-UTP. The product was purified by anion exchange chromatography on Sephadex A-25 as described above for  $N^4$ -(6-aminohexyl)-pCp. Thiolated pCp eluted at 0.36-0.45 M salt, recovered by lyophilization, and characterized by 2D NMR.
- 25

Example 5*Randomly thiolated RNA*

RNA is normally prepared in vitro by transcribing a plasmid containing the DNA encoding for the desired RNA in the presence of the four rNTPs. Modified  
5 RNAs can be prepared by substituting a modified rNTP for all, or for part, of the particular one from which it was derived. The density of modification can be determined by controlling the ratio of modified to normal rNTP in the transcription reaction. As a prototype example of this strategy we describe below the preparation of gold-containing  $\beta$ -globin RNA with thiol-UTP as the modified NTP. Analogous  
10 procedures will be used to prepare RNA in which gold clusters are attached to adenine or cytidine residues starting from thiol-ATP and thiol-CTP, respectively.

A standard transcription in vitro reaction catalyzed by SP6 RNA polymerase was carried out using the template plasmid pSP64Hb $\Delta$ 6 cut with BamH1, which yields a 497-nt long RNA containing the first two exons and the intervening intron of  
15 the  $\beta$ -globin gene. In addition to ATP, GTP, CTP, and UTP, the reaction mixture contained 10% thiol-UTP (UTP:thiol-UTP = 9:1) and  $^{32}$ P-labeled ATP as a radioactive tracer. The RNA was recovered by phenol extraction and ethanol precipitation.

Coupling of thiolated RNA to monomaleimido NANOGOLD (Nanoprobe,  
20 Stony Brook NY) was carried out according to the manufacturer instructions. Precipitation with ethanol gave an RNA preparation free of the reagent. The RNA was subjected to electrophoresis on an agarose gel and transferred to a nitrocellulose membrane. The gold-containing RNA was revealed by silver enhancement (Figure 1, lanes 1-3) whereas unmodified RNA which had been treated with monomaleimido  
25 NANOGOLD did not stain at all (Figure 1, lane 4). The electrophoretic mobility of the modified RNA corresponds to an RNA of about 700 nt. It can thus be estimated that the mass of the 497-nt  $\beta$ -globin RNA (~150 kDa) increased by ~60 kDa. Since the molecular mass of NANOGOLD is not known it is not possible to estimate the number of gold clusters per RNA molecule. However, if we assume that  
30 NANOGOLD has twice the mass of undecagold (6,000 Da), an estimate of ~5 clusters per RNA can be made. This is a reasonable estimate, given that the maximum number

of thiolated uridines in the RNA is 12 (10% of ~125) and that the efficiency of incorporation of thiol-UTP into the RNA is expected to be substantially smaller than that of UTP.

Preliminary visualization of gold-RNA by bright field transmission electron microscopy (TEM) (Figure 2) showed gold particles arranged at regular distances on a (imaginary) curve, while a control of unmodified RNA which had been treated with monomaleimido NANOGOLD gave no signal at all. Figure 3 is an AFM image showing unmodified RNA (a); gold labeled single stranded RNA (b); and gold labeled double stranded RNA (c).

### Example 6

#### ***Gold-tagged $\beta$ -globin RNA***

The plasmid pSP64H $\beta$  $\Delta$ 6, which contains the human  $\beta$ -globin gene (Krainer *et al.*, 1984), was linearized with BamHI and transcribed *in vitro* to yield a 497-nt transcript comprising the first two exons and the first intron of  $\beta$ -globin. A standard transcription reaction mixture (in a total volume of 20  $\mu$ l) contained 40 mM Tris-HCl, pH 7.9, 10 mM NaCl, 6 mM MgCl<sub>2</sub>, 20 mM DTT, 2 mM spermidine, 20 units ribonuclease inhibitor (MBI Fermentas), 1  $\mu$ g linearized DNA, 0.5 mM each of ATP, GTP, UTP, and CTP, and 40 units of SP6 RNA polymerase (MBI Fermentas). For the preparation of thiolated RNA, UTP-SH or ATP-SH was added to the transcription reaction mixture (the syntheses of the thiolated nucleoside triphosphates, UTP-SH and ATP-SH, will be published elsewhere). Transcription was carried out for 1 h at 37°C, and the resulting 497-nt RNA was recovered by phenol extraction and ethanol precipitation.

The thiolated RNA was resuspended in 50  $\mu$ l of 0.1 M sodium phosphate, pH 6.4, containing 1 mM EDTA and 2 mM vanadyl ribonucleoside complex (Chirgwin *et al.*, 1979) as an RNase inhibitor. One nanomole monomaleimido NANOGOLD (Nanoprobes, estimated 10-fold excess) was added and the reaction mixture was incubated for 6 h at 4 °C. The gold-tagged RNA was recovered by two ethanol precipitations.

**6a. Transmission electron microscopy**

RNA was dissolved in water and deposited on ultrathin carbon films that spanned holey carbon-coated copper grids. Excess solution was blotted with a wet filter paper and the grids were imaged in a Philips CM-12 TEM operating at 100 kV.

**5 6b. Atomic force microscopy**

RNA samples were pipetted onto a chip of freshly cleaved mica and covered with a second chip that had been washed with pure water (Milli-Q Plus system). The RNA suspension was incubated for 5-10 min and then the mica sheets were separated and one of them was brought into contact with pure water. Finally, the bulk fluid on  
10 the sample was removed quickly with a stream of wet nitrogen. The samples were probed in air with a Nanoscope III AFM instrument (Digital Instruments, Santa Barbara, CA) operating in the tapping mode.

**Example 7****15 Concluding Remarks and Discussion**

Thus, to verify the feasibility of the approach to covalently tag nucleic acids, RNA in the above Examples, with, for example, gold clusters, whether NANOGOLD can be covalently incorporated into a pre-mRNA molecule and then visualized by TEM was put to test. RNA is normally prepared *in vitro* by transcribing a plasmid  
20 containing the DNA encoding the desired RNA in the presence of RNA polymerase and all four ribonucleoside triphosphates (rNTPs). Modified RNAs can be prepared by substituting a modified rNTP for all, or for part, of the particular rNTP from which it was derived. The density of modification can thus be determined by choosing the ratio of modified to normal rNTP in the transcription reaction. Although most  
25 commercial RNA polymerases recognize modified rNTPs, even with bulky substituents such as biotin (e.g., Langer *et al.*, 1981), without being limited by any theory, it is conceivable that a rNTP attached to a gold cluster with a diameter of 1.4 nm would not be as efficiently recognized by the enzyme. Therefore, first, modified rNTP containing free thiol groups were incorporated into the RNA and then it was  
30 coupled to a maleimido-gold cluster (monomaleimido NANOGOLD). This general

strategy is schematically depicted in Figure 1, and as a prototype example we describe below the preparation and visualization by TEM and Aof gold-tagged  $\beta$ -globin RNA.

### ***Thiolated $\beta$ -Globin RNA***

The plasmid pSP64H $\beta$  $\Delta$ 6, which contains the human  $\beta$ -globin gene (Krainer *et al.*, 1984), was linearized with BamHI and transcribed *in vitro* with SP6 RNA polymerase to yield a  $\beta$ -globin pre-mRNA like molecule harboring the  $\beta$ -globin first two exons and the intervening intron. To prepare thiolated  $\beta$ -globin RNA, the linearized  $\beta$ -globin plasmid was transcribed *in vitro* with SP6 RNA polymerase in the presence of all four rNTPs and a UTP analog containing a substituent with a terminal thiol group on its heterocyclic ring (UTP-SH; 5, 10, or 50% of total input UTP). To verify that UTP-SH was indeed incorporated into the RNA, we performed in parallel a second identical transcription reaction except that [ $\alpha$ - $^{32}$ P]ATP was added as a radioactive tracer. The labeled RNA was recovered by phenol extraction and ethanol precipitation and coupled to biotin using 1-biotin-4-[4'-(maleimidomethyl)cyclohexanecarboxyamido]butane (Biotin-BMCC, Pierce), and the resulting RNA was bound to immobilized monomeric avidin (Pierce). Elution of the bound material with D-biotin showed that 85% of the input  $^{32}$ P-labeled RNA was specifically and reversibly retained on the solid matrix, indicating that the transcribed RNA contained thiolated nucleotides.

A similar protocol was used to prepare  $\beta$ -globin RNA with thiolated adenosine residues. In that case, the transcription reaction was carried out in the presence of all four rNTPs and an ATP analog containing a substituent with a terminal thiol group on its heterocyclic ring (ATP-SH; 10 or 50% of total input ATP).

### ***Gold-Tagged $\beta$ -Globin RNA***

Coupling of thiolated (UTP-SH)  $\beta$ -globin RNA to monomaleimido NANOGOLD was carried out according to the manufacturer's instructions. Precipitation with ethanol gave an RNA preparation free of the reagent. To demonstrate that NANOGOLD was covalently bound to the RNA, the RNA was subjected to electrophoresis on an agarose gel and transferred to a nitrocellulose membrane. The gold-containing RNA was revealed by silver enhancement (Burry *et al.*, 1992) (Figure 2, lanes 1-3), whereas unmodified RNA that had been treated with

monomaleimido NANOGOLD did not stain at all (Figure 2, lane 4). The apparent electrophoretic mobility of the modified RNA corresponds to RNA of about 700 nucleotides (nt). It can thus be estimated that the mass of the 497-nt  $\beta$ -globin RNA (~150 kDa) increased by ~60 kDa. Since the molecular mass of NANOGOLD is not known, it is not possible to estimate the number of gold clusters per RNA molecule. However, if we assume that NANOGOLD has twice the mass of undecagold (6000 Da), an estimate of ~5 clusters per RNA can be made. This is a reasonable estimate, given that the maximum number of thiolated uridines in the RNA is 12 (10% of ~125) and that the efficiency of incorporation of thiol-UTP into the RNA is expected to be substantially smaller than that of UTP.

#### *Visualization of Gold-Tagged $\beta$ -Globin RNA by TEM*

Visualization of unstained gold-tagged  $\beta$ -globin RNA by bright field TEM showed spots of gold clusters, with a diameter of 1-2 nm, arranged at nearly regular distances on an imaginary curve (Figures 3b and 3c). The apparent variability in the size of these spots is similar to that observed in TEM images of NANOGOLD and may thus reflect size variations in a particular batch of the reagent. Though the organic shell of NANOGOLD is expected to completely eliminate aggregation of these clusters (Hainfeld and Furuya, 1992), the extremely large spot in Fig. 3b may be attributed to an aggregate that could have been formed during sample manipulations. Notably, the density of gold clusters along these curves corresponds to the proportion of NTP-SH that was present in the transcription reaction. Thus, gold clusters in  $\beta$ -globin RNA that was transcribed in the presence of 10% ATP-SH (10% of total input ATP; Figure 3b) are more spread than those in  $\beta$ -globin RNA that was transcribed in the presence of 50% UTP-SH (50% of total input UTP; Figure 3c). In a control experiment, where unmodified RNA had been treated with monomaleimido NANOGOLD and then manipulated in exactly the same manner as in experiments with thiolated RNA, a signal of scattered gold clusters could only rarely be observed by bright-field TEM (Figure 3a).

#### *Visualization of Gold-Tagged $\beta$ -Globin RNA by AFM*

Direct evidence for the attachment of gold clusters at random locations on the RNA was achieved by the simultaneous visualization of RNA and gold clusters by

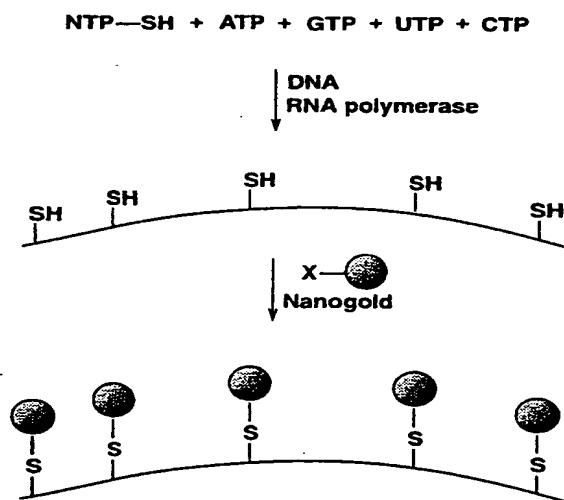


AFM imaging. Diluted RNA samples were deposited on freshly cleaved mica chips, dried in air, and imaged in air (Figure 4). The AFM images of single-stranded  $\beta$ -globin RNA (Figure 4) correspond to an apparent height of about 0.7 nm. This value is significantly smaller than 1.6 nm which is the expected diameter based on X-ray crystal structure data for the single-stranded helical form of poly(A) (Saenger, 1984a,b). On the other hand, it is similar to the height of  $0.7 \pm 0.1$  nm that was observed by AFM for poly(A) RNA (Smith *et al.*, 1997), indicating that compression of the RNA occurred upon depositing and drying on the mica. The end to end length in each of the RNA images was estimated from measurements of their contour length using the NIH Image package and was found to range between 350 and 400 nm. For a 497-nt long RNA, this value corresponds to a nucleotide to nucleotide distance of 0.7-0.8 nm, more than two times larger than the 0.282 nm axial rise per nucleotide in single-stranded helical poly(A) RNA (Saenger, 1984b). This observation indicates that stretching of the helical RNA molecules occurred during the sample preparation for the AFM and is consistent with the observed reduction in their diameter.

Notwithstanding, single-stranded gold-tagged  $\beta$ -globin RNA molecules exhibit images in which knob-like structures are clearly seen along the RNA chain (Figure 4b). The height of the brightest knobs (typical knobs are indicated by arrows in Figure 4b) range between 1.7 and 2.5 nm. This value is in agreement with the height observed for free NANOGOLD (2.0-2.6 nm). The distinction between the apparent uniform height of unmodified RNA and the spiky appearance of the gold-labeled RNA can clearly be seen in the surface plot of the respective RNAs (Figure 5). These knobs are thus attributed to gold clusters attached to the RNA chain.

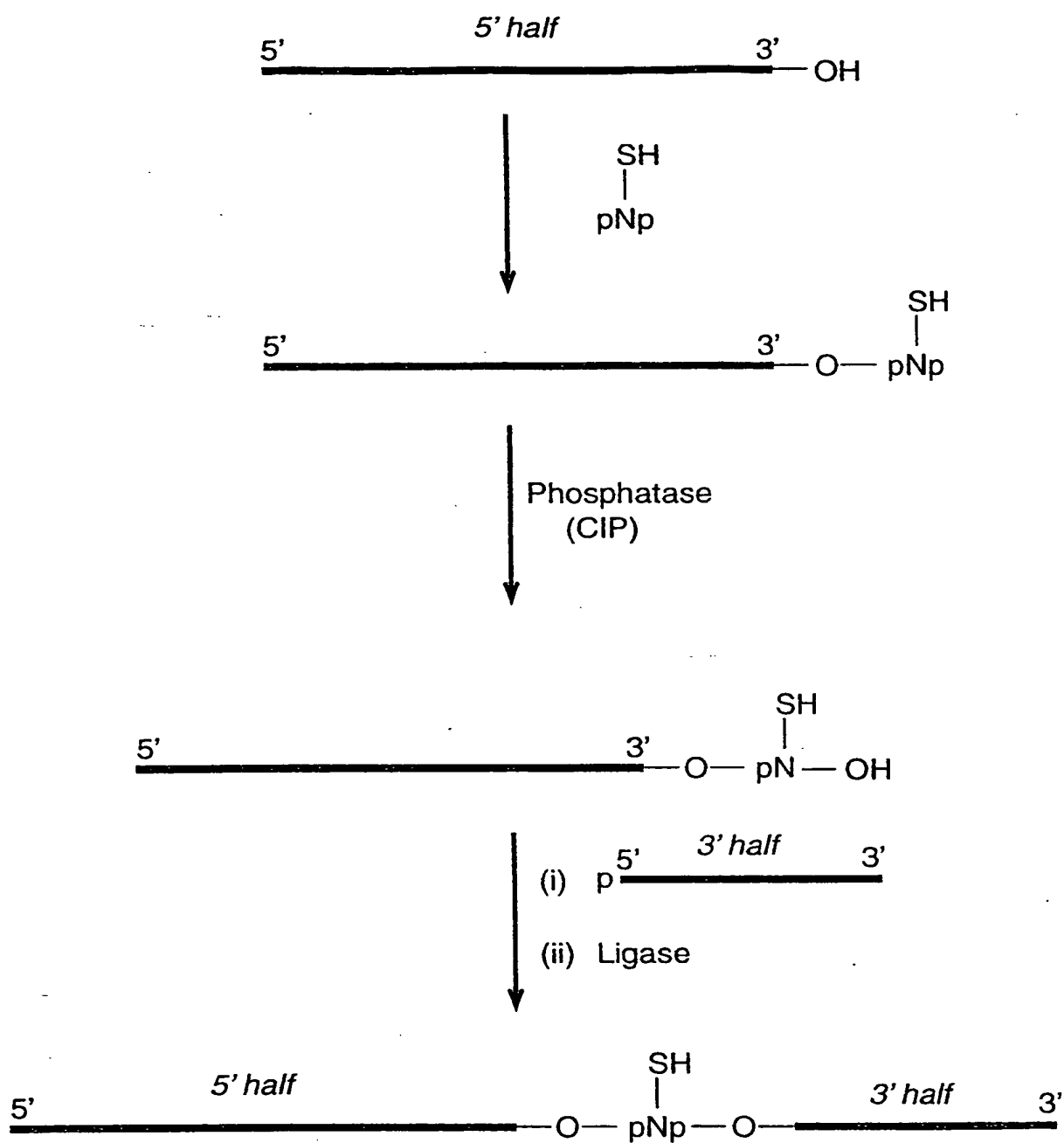
Although the invention has been described in conjunction with specific embodiments thereof, it is evident that many alternatives, modifications and variations will be apparent to those skilled in the art. Accordingly, it is intended to embrace all such alternatives, modifications and variations that fall within the spirit and broad scope of the appended claims. All publications, patents and patent applications mentioned in this specification are herein incorporated in their entirety by reference into the specification, to the same extent as if each individual publication, patent or

patent application was specifically and individually indicated to be incorporated herein by reference. In addition, citation or identification of any reference in this application shall not be construed as an admission that such reference is available as prior art to the present invention.

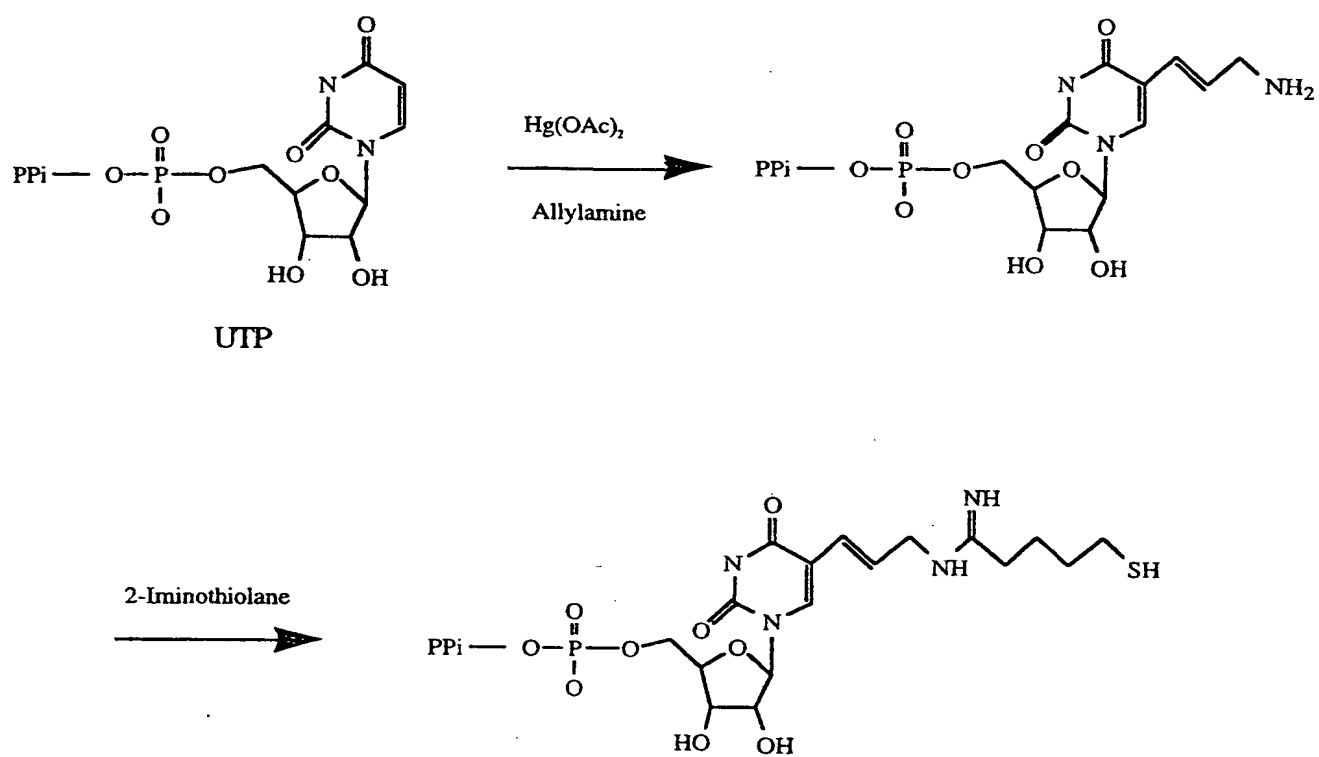


**Scheme 1**

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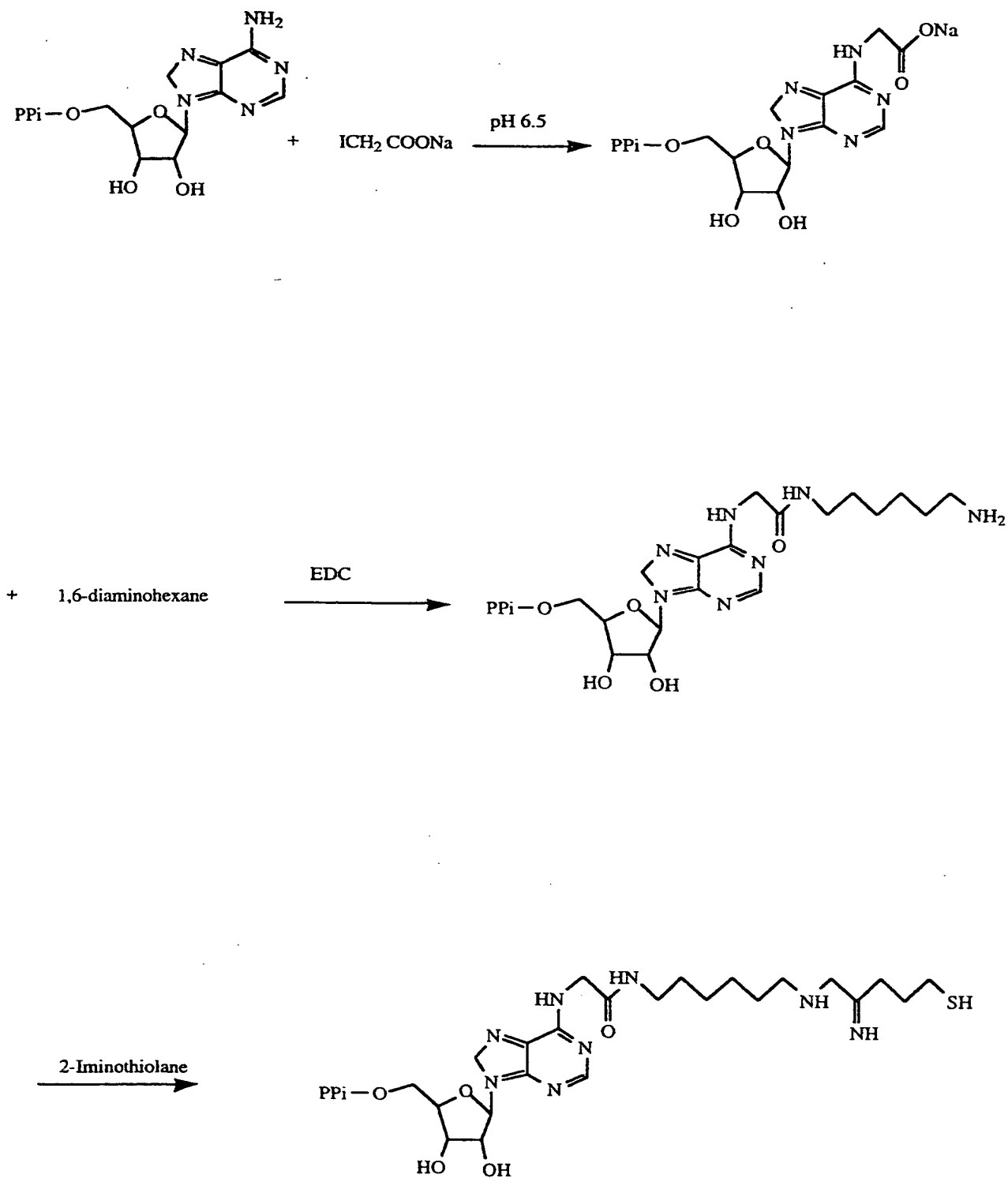


Scheme 2

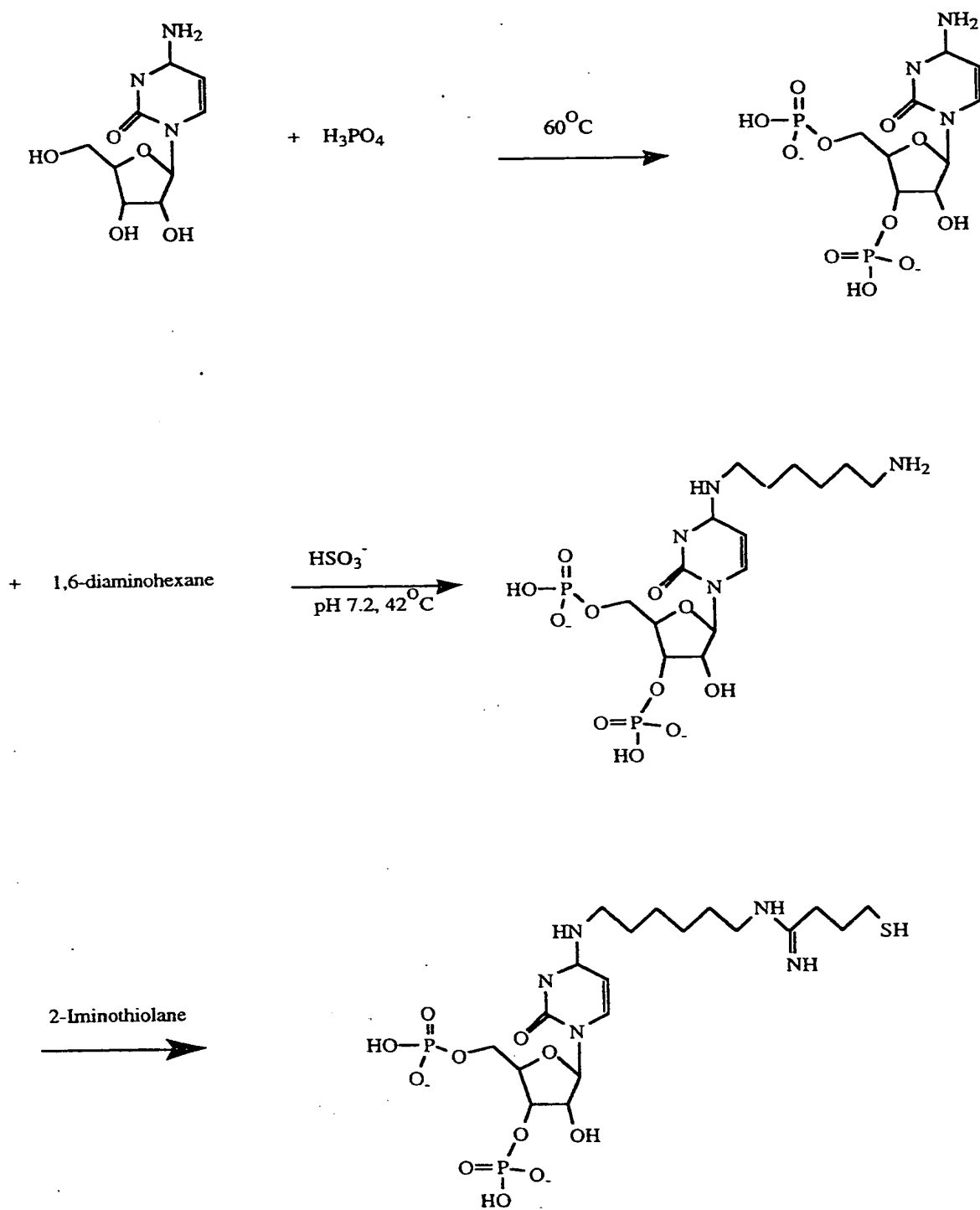


Scheme 3

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Scheme 4



Scheme 5

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- 30



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## WHAT IS CLAIMED IS:

1. A nucleotide comprising a sugar moiety selected from a natural sugar moiety and a sugar analog thereof, a natural phosphodiester or any other internucleosidyl linkage, and a natural pyrimidine or purine base or a base analog thereof, and a terminal thiol group at a side chain being covalently linked to the pyrimidine or purine base or base analog of the nucleotide.
2. The nucleotide of claim 1, comprising a natural sugar moiety, a natural phosphodiester linkage, and a natural pyrimidine or purine base, and a terminal thiol group at a side chain being covalently linked to the pyrimidine or purine base of the nucleotide.
3. The nucleotide of claim 1, wherein said sugar moiety is ribose.
4. The nucleotide of claim 1, wherein said sugar moiety is deoxyribose.
5. The nucleotide of claim 1, wherein said sugar moiety is dideoxyribose.
6. The nucleotide of claim 1, which is a monophosphate, diphosphate, 3',5'-bisphosphate or 5'-triphosphate.
7. The nucleotide of claim 1, wherein said side chain is saturated or unsaturated and has 2-20 carbon atoms.
8. The nucleotide of claim 7, wherein said saturated or unsaturated side chain has 2-15 carbon atoms.
9. The nucleotide of claim 7, wherein said saturated or unsaturated side chain has 2-10 carbon atoms.

10. The nucleotide of claim 7, wherein said saturated or unsaturated side chain is interrupted by heteroatoms selected from the group consisting of O, S and N and/or is substituted by groups such as =O, =NH and/or 1-3 alkyl groups.

11. The nucleotide of claim 1, further comprising a metal cluster being covalently linked through said terminal thiol group at said side chain to the pyrimidine or purine base of the nucleotide.

12. The nucleotide of claim 11, wherein said metal is Ag, Au, Hg, Pt, Mo or W.

13. The nucleotide of claim 12, wherein said metal is Au.

14. The nucleotide of claim 13, wherein said metal cluster is colloidal gold.

15. A nucleic acid comprising at least one nucleotide of claim 1.

16. The nucleic acid of claim 15, comprising ribonucleotides.

17. The nucleic acid of claim 15, comprising deoxyribonucleotides.

18. The nucleic acid of claim 15, wherein said at least one nucleotide further includes a metal cluster covalently linked through said terminal thiol group at said side chain to the pyrimidine or purine base or base analog of the nucleotide.

19. The nucleic acid of claim 18, wherein said metal is Ag, Au, Hg, Pt, Mo or W.

20. The nucleic acid of claim 19, wherein said metal is Au.

21. The nucleic acid of claim 20, wherein said metal cluster is colloidal gold.

22. A method for labeling a nucleic acid molecule at random locations with a metal, the method comprising incorporating a thiolated nucleotide according to claim 1 into said nucleic acid molecule, and attaching the metal atoms to the free thiol groups of the thiolated nucleic acid.

23. The method of claim 22 for the attachment of gold-clusters at random locations in a nucleic acid molecule, comprising:

(i) preparation of precursor deoxyribonucleoside triphosphates (NTPs) and ribonucleoside triphosphates (rNTPs) whose heterocyclic ring contains substituents with a terminal thiol group (NTP-SH and rNTP-SH, respectively);

(ii) incorporation of these precursor molecules into DNA or RNA in reactions catalyzed by DNA polymerase or RNA polymerase, respectively; and

(iii) attachment of gold-clusters to the free thiol groups, either by reacting with a commercially available maleimido derivative of the cluster, or by reacting with colloidal gold of pre-determined size.

1 / 5

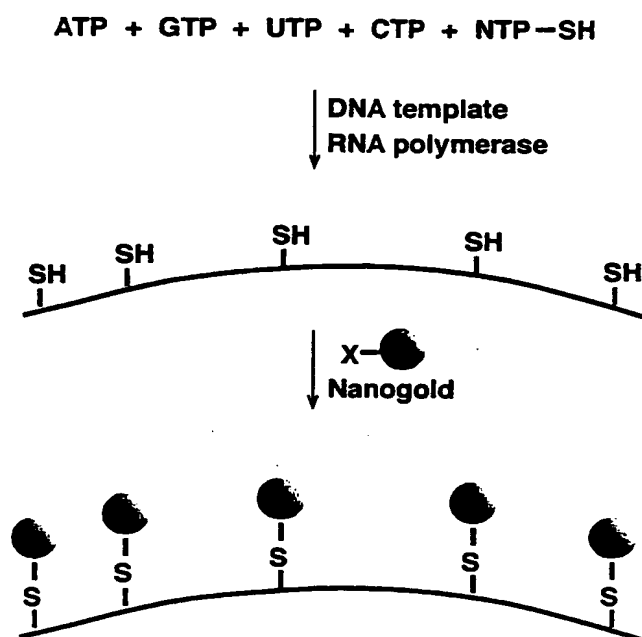


Fig. 1

2 / 5

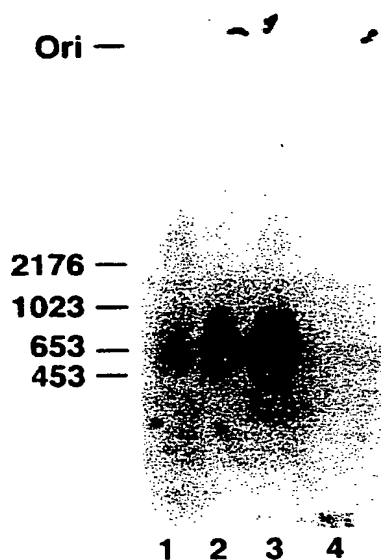


Fig. 2

3 / 5

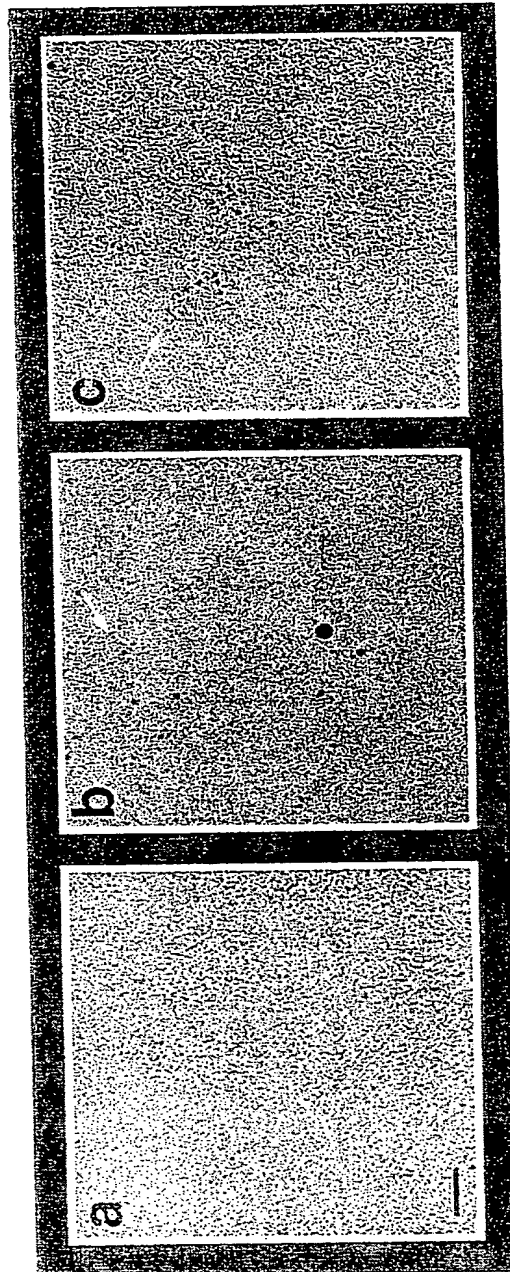


Fig. 3

4 / 5

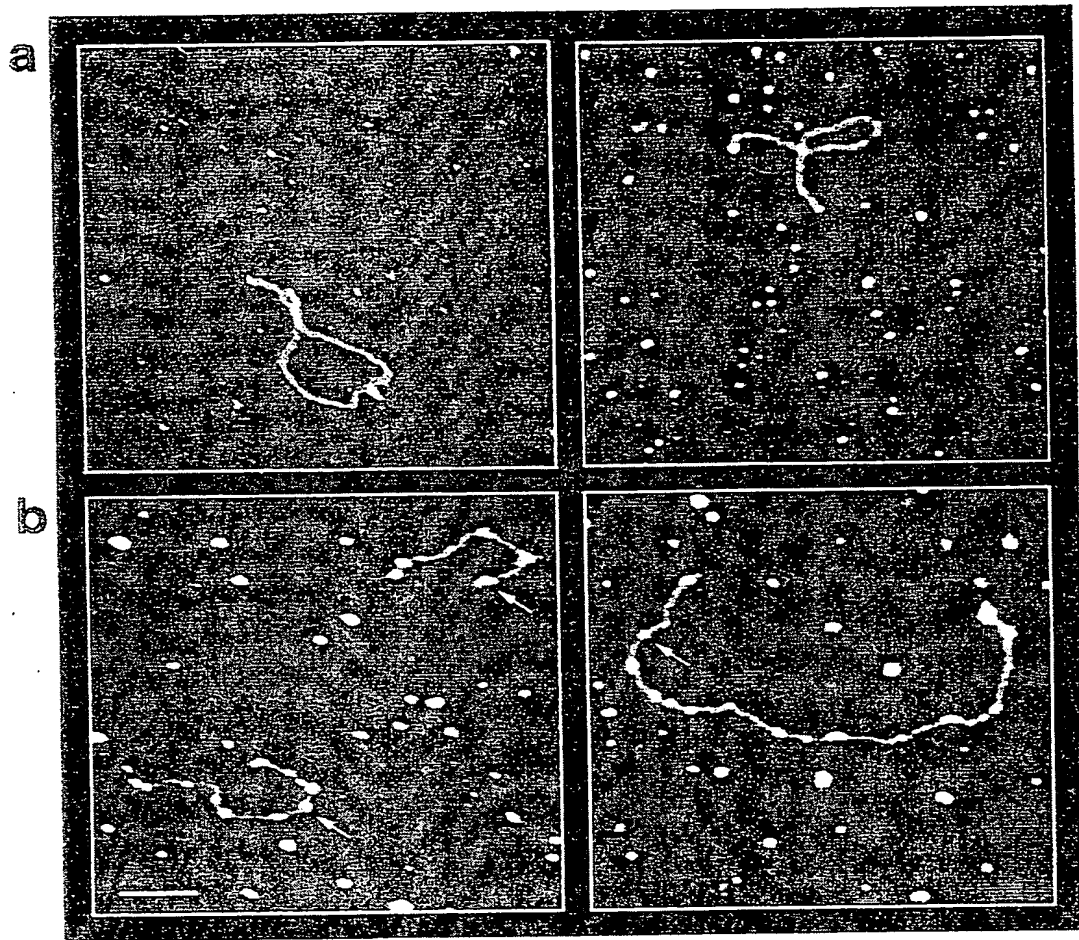


Fig. 4



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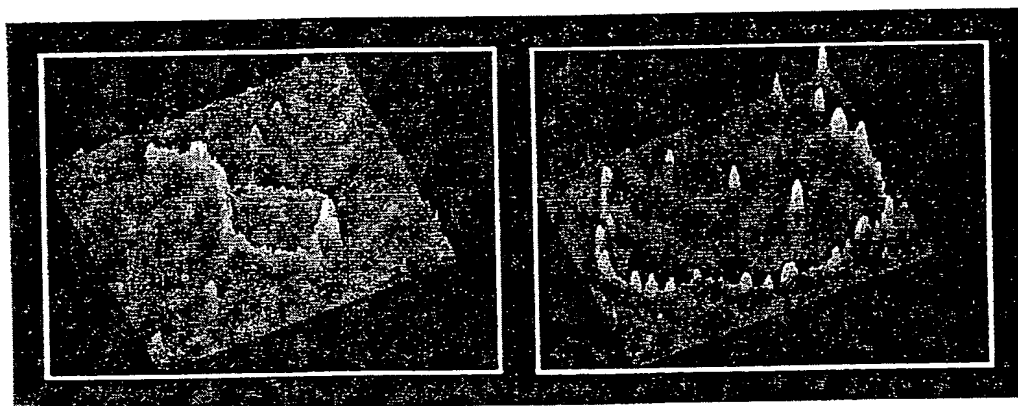


Fig. 5

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

<b>To:</b> G.E. EHRLICH LTD GIBOR-SPORT BUILDING 17TH FLOOR 28 BEZALEL STREET 52 521 RAMAT GAN ISRAEL	<div style="border: 2px solid black; padding: 5px; margin: 0 auto; width: 150px;"> <b>RECEIVED</b>  <b>03 MAY 2001</b>          FILE NO. <u>00/20979</u>  <b>G.E. EHRLICH (1995) LTD.</b> </div>	<h2 style="margin: 0;">PCT</h2> <p style="margin: 0;">NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION</p> <p style="margin: 0;">(PCT Rule 44.1)</p>
<b>Applicant's or agent's file reference</b> 00/20979		<b>Date of Mailing</b> <i>(day/month/year)</i> <div style="font-size: 1.5em; font-weight: bold; margin-top: 10px;">11 APR 2001</div>
<b>International application No.</b> PCT/IL00/00564		<b>FOR FURTHER ACTION</b> See paragraphs 1 and 4 below  <b>International filing date</b> <i>(day/month/year)</i> 13 SEPTEMBER 2000
<b>Applicant</b> YEDA RESEARCH AND DEVELOPMENT CO. LTD.		

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**  
 The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35

**For more detailed instructions,** see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ **With regard to the protest against payment of (an) additional fee(s) under Rule 40.2,** the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.  
☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 *bis* 1 and 90 *bis* 3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

<b>Name and mailing address of the ISA/US</b> Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231  <b>Facsimile No.</b> (703) 305-3230	<b>Authorized officer</b> <div style="text-align: center; margin-top: 10px;"> <b>JAMES O. WILSON</b> </div> <b>Telephone No.</b> (703) 308-1225
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# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

<b>To:</b> G. E. EHRLICH LTD GIBOR-SPORT BUILDING 17TH FLOOR 28 BEZALEL STREET 52 521 RAMAT GAN ISRAEL	<div style="border: 1px solid black; padding: 5px; margin: 0 auto; width: 80%;"> <b>RECEIVED</b>  <b>03 MAY 2001</b>          FILE NO. <u>00/20979</u>  <b>G.E. EHRLICH (1995) LTD.</b> </div>	<h2 style="margin: 0;">PCT</h2> <p style="margin: 0;">NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION</p> <p style="margin: 0;">(PCT Rule 44.1)</p>
<b>Date of Mailing</b> (day/month/year)		<h2 style="margin: 0;">11 APR 2001</h2>
<b>Applicant's or agent's file reference</b> 00/20979	<b>FOR FURTHER ACTION</b> See paragraphs 1 and 4 below	
<b>International application No.</b> PCT/IL00/00564	<b>International filing date</b> (day/month/year) 13 SEPTEMBER 2000	
<b>Applicant</b> YEDA RESEARCH AND DEVELOPMENT CO. LTD.		

1. ☒ The applicant is hereby notified that the international search report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**  
 The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the international search report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO  
 34, chemin des Colombettes  
 1211 Geneva 20, Switzerland  
 Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.  
☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after 18 months from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in rules 90 bis 1 and 90 bis 3, respectively, before the completion of the technical preparations for international publication.

Within 19 months from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within 20 months from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

<b>Name and mailing address of the ISA/US</b> Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	<b>Authorized officer</b> JAMES O. WILSON Telephone No. (703) 308-1225
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## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 00/20979	FOR FURTHER ACTION	see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.
International application No. PCT/IL00/00564	International filing date (day/month/year) 13 SEPTEMBER 2000	(Earliest) Priority Date (day/month/year) 14 SEPTEMBER 1999
Applicant YEDA RESEARCH AND DEVELOPMENT CO. LTD.		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 2 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (See Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. \_\_\_\_\_

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☒ None of the figures.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IL00/00564**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : C07H 21/00, 21/02, 21/04, 19/04, 19/10, 19/20

US CL : 536/23.1, 25.1, 25.32, 26.1, 26.23, 26.26, 26.6, 26.7, 26.8

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 536/23.1, 25.1, 25.32, 26.1, 26.23, 26.26, 26.6, 26.7, 26.8

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST ONLINE  
USPTAFULL  
CAPLUS**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,521,289 A (HAINFELD et al.) 28 May 1996, col. 2, lines 49-60, especially col. 7, line 3 through col. 8, line 43.	1-23
Y	US 5,728,590 A (POWELL) 17 March 1998, col. 2, lines 29-44.	1-23

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

12 FEBRUARY 2001

Date of mailing of the international search report

11 APR 2001

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JAMES O. WILSON

Telephone No. (703) 308-1235

TERRY J. DEY  
PARALEGAL SPECIALIST  
TECHNOLOGY CENTER 1600

## PATENT COOPERATION TREATY

PCT

NOTIFICATION CONCERNING  
AMENDMENTS OF THE CLAIMS(PCT Rule 62 and  
Administrative Instructions, Section 417)

From the INTERNATIONAL BUREAU

To:

Commissioner  
US Department of Commerce  
United States Patent and Trademark  
Office, PCT  
2011 South Clark Place Room  
CP2/5C24  
Arlington, VA 22202  
ETATS-UNIS D'AMERIQUE

in its capacity as International Preliminary Examining Authority

Date of mailing (day/month/year)

13 July 2001 (13.07.01)

International application No.

PCT/IL00/00564

International filing date (day/month/year)

13 September 2000 (13.09.00)

Applicant

YEDA RESEARCH AND DEVELOPMENT CO. LTD. et al

The International Bureau hereby informs the International Preliminary Examining Authority that no amendments under Article 19 have been received by the International Bureau (Administrative Instructions, Section 417).

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

Claudio Borton

Telephone No. (41-22) 338.83.38

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
 US Department of Commerce  
 United States Patent and Trademark  
 Office, PCT  
 2011 South Clark Place Room  
 CP2/5C24  
 Arlington, VA 22202  
 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 13 July 2001 (13.07.01)	
International application No. PCT/IL00/00564	Applicant's or agent's file reference 00/20979
International filing date (day/month/year) 13 September 2000 (13.09.00)	Priority date (day/month/year) 14 September 1999 (14.09.99)
Applicant SPERLING, Joseph et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

04 April 2001 (04.04.01)

☐ in a notice effecting later election filed with the International Bureau on:
2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  Claudio Borton  Telephone No.: (41-22) 338.83.38
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